

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **"Method and Apparatus For Securing A Thin Film Magnetic Tape Head Closure"**, the specification of which (check one):

☒ is attached hereto

☐ was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119, of any foreign application(s) for patent or inventor's certificate listed below and also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application which priority is claimed:

Prior Foreign Application(s):Priority Claimed?

(Number)	(Country)	(Day/Month/Year Filed)	[] Yes	[] No
(Number)	(Country)	(Day/Month/Year Filed)	[] Yes	[] No

I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)
(Application Serial No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Timothy R. Schulte, Reg. No. 29,013

Address all correspondence and telephone calls to Timothy R. Schulte at Storage Technology Corporation, 2270 South 88th Street MS-4309, Louisville, CO 80028 (303) 673-5989.

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

09/310800
05/12/99

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Yi-Shung Chang

Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Storage Technology Corporation

Internal Address: Mail Station 4309

Street Address: 2270 South 88th Street

City, State, ZIP: Louisville, Colorado 80028-4309

Additional name(s) and address(es) attached?
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 1, 1997

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is May 1, 1997

A. Patent Application No.(s) B. Patent No.(s)

Additional numbers attached?
☐ Yes ☒ No

2. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy R. Schulte

Internal Address: Storage Technology Corporation
Mail Station 4309

Street Address: 2270 South 88th Street

City, State, ZIP: Louisville, Colorado 80028-4309

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account


8. Deposit account number: 19-4545

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy R. Schulte
Name of Person Signing


Signature

5/1/97
Date

ASSIGNMENT

For the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, I, Yi-Shung Chaug, a citizen of the U.S.A. whose residence address is 1486 Lodge Court, Boulder, Colorado 80303, do hereby assign, sell and set over to Storage Technology Corporation, a corporation organized and existing under the laws of the State of Delaware, having a place of business at 2270 South 88th Street, Colorado 80028, hereinafter referred to as the ASSIGNEE, its successors, assigns or other legal representatives, the entire right, title and interest, domestic and foreign, in and to the inventions and discoveries in:

"Method and Apparatus For Securing A Thin Film Magnetic Tape Head Closure"

set forth in the application for United States Letters Patent, executed by me on the May 1, 1997, including the right of said ASSIGNEE, its successors, assigns or other legal representatives to make applications and to receive Letters Patent for said inventions and discoveries in any and all foreign countries in its or their own name or names, or in my name, at its or their election, and I hereby assign, sell and set over to said ASSIGNEE, its successors, assigns or other legal representatives, all rights or priority in and to said inventions and discoveries in all countries.

And I hereby agree for myself, my heirs, successors, assigns or other legal representatives to execute any and all papers, including applications for Letters Patent of any and all kinds and in any and all countries, and to perform any and all acts which said ASSIGNEE, its successors, assigns or other legal representatives may deem necessary to secure thereto the rights herein assigned, sold and set over.

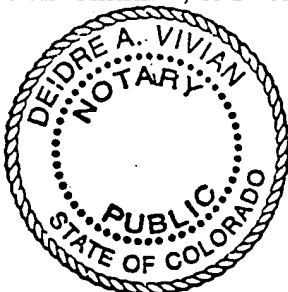
And I hereby represent and warrant that I have not granted any rights inconsistent with the rights granted herein.

Yi-Shung Chaug
Yi-Shung Chaug

STATE OF COLORADO)
) ss.
COUNTY OF BOULDER)

Subscribed and Affirmed, or Sworn to before me this May 1, 1997, by Yi-Shung Chaug.

[SEAL]



Deidre A. Vivian
Notary's Signature

My commission expires: 2/4/98

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yi-Shung Chaug

For: APPARATUS FOR SECURING A THIN FILM MAGNETIC TAPE HEAD
CLOSURE USING BLUING VIAS

Attorney Docket No.: 96-017-TAX

ASSOCIATE POWER OF ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please recognize the following attorney as Associate Attorney in this case:

Wayne P. Bailey, Registration No. 34,289

with an address of:

Storage Technology Corporation
One StorageTek Drive, MS-4309
Louisville, Colorado 80028-4309

Respectfully submitted,

Storage Technology Corporation

By: Timothy R. Schulte
Timothy R. Schulte
Registration No. 29,013

Dated: May 12, 1999.